EXHIBIT 15

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		Page 1	
1	UNITED STATES DISTRICT COURT		
2	NORTHERN DISTRICT OF CALIFORNIA		
3	SAN FRANCISCO DIVISION		
4			
5	ORACLE AMERICA, INC.,)	
)	
6	Plaintiff,)	
)	
7	vs.)Case No.	
)CV 10-03561 WHA	
8)	
	GOOGLE, INC.,)	
9)	
	Defendant.)	
10		_)	
11			
12	HIGHLY CONFIDENTIAL - ATT	HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY	
13			
14	VIDEOTAPED DEPOSITION OF		
15			
	DONALD SMITH		
16			
17	November 20, 2015		
18			
19			
20			
21	Job No. CS2187585		
22			
23	REPORTED BY:		
24			
25	JULIE ANNE ZEIGLER, RPR, CSR 9750		

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- A. So Apache Harmony -- so they are a source code
- 2 licensee. They have the right to create an independent
- 3 implementation subject to the terms and conditions of
- 4 their agreement in the TCK. Whether they used the
- 5 Apache Harmony codes specifically, I wouldn't know.
- Q. Did you do anything to investigate that as 7 part of your deposition today?
- A. No, I did not, and I'm trying to imagine IBM
- 9 telling me the answer to that question, and I don't
- 10 think they would.
- Q. Has Oracle ever used any code from the Apache
- 12 Harmony Project?
- 13 MS. LEWIS-GRUSS: Objection to the form.
- 14 THE WITNESS: So from my knowledge as an
- 15 Oracle employee, I'm not aware of anything current or
- 16 even going back to when I started, but it was from when
- 17 I was at the Eclipse Foundation and from my independent
- 18 point of view, I know that Oracle was always listed as
- 19 being an interested party, at least.
- 20 BY MR. MULLEN:
- Q. But you don't know whether Oracle ever used
- 22 any code from the Apache Harmony Project?
- 23 MS. LEWIS-GRUSS: Objection to the form.
- 24 THE WITNESS: I don't know if Oracle used any
- 25 code. So if Oracle did use code subject to the terms of

- 1 the Apache license, as the steward and as a Java -- as
- 2 the owner of all the IP around Java, it would have been
- 3 its right, but I'm not aware of it having done so.
- 4 BY MR. MULLEN:
- Q. So can you tell me anything further about
- 6 your understanding of the reason for the dispute
- 7 between Apache and Oracle?
- A. Yeah. So the reason for the dispute is that
- 9 Apache wanted a TCK that did not have a field of use
- 10 restriction, and --
- Q. Sorry, go ahead. 11
- 12 A. Sorry. I stuttered there, but that's the
- 13 simplest summary of the dispute.
- Q. And why was Oracle unwilling to give Apache a
- 15 TCK without a field of use restriction?
- A. Well, I think it was Sun that was unwilling
- 17 than Oracle. Because the field of use restriction is
- 18 where the commercial side of the Java business is
- 19 monetized.
- 20 So if you go back to the very beginning of the
- 21 entire Java business model, back to the '90s at the very
- 22 beginning, the model that was set up is that use by
- 23 ISVs, so companies like Sun and IBM and Oracle and HP
- 24 and others, that use on general purpose desktop and
- 25 servers would be gratis. And so it would allow those

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- 1 ISVs to compete against the Microsoft ecosystem. But
- 2 Sun, as the steward, needed a way to monetize the
- 3 ongoing development and support of that platform, and so
- 4 the business model was that there would be a field of
- 5 use, and that if you were to run Java in a dedicated
- 6 purpose or -- well, in a non-general purpose case, that
- 7 would be royalty bearing. You would pay those
- 8 royalties, and that would help fund the Java platform.
- 9 And so the entire ecosystem, the entire source code
- 10 model with -- going back to the very early days with all
- 11 of those companies was predicated on that. And so, you
- 12 know, Apache wanted the ability to have that -- they
- 13 basically wanted that particular restriction lifted, and
- 14 so that would have been unfair to all the other
- 15 licensees, and moreover, it would have jeopardized the
- 16 ongoing funding for the development of the Java
- 17 platform. And so they ultimately -- they tried to find
- 18 some common ground, but just were never able to.
 - Q. And is it your understanding that Apache
- 20 would have needed a TCK license from Oracle to do an
- 21 independent implementation of the Java APIs?
- A. Yes, and that's what the dispute was about.
- 23 It was about the terms of that TCK license.
- Q. And without that TCK license, was it Oracle's
- 25 view that Apache was infringing Oracle's copyrights?

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- 1 MS. LEWIS-GRUSS: Objection to the form.
 - 2 THE WITNESS: This goes back to the Sun era,
 - 3 right, and so --
 - 4 BY MR. MULLEN:
 - Q. I'm just asking for information from you from
 - 6 the recent time period.
 - A. I understand, but it was well-known that there
 - 8 was a dispute there, and that it was well-known -- as a
 - 9 third party, and it's my position and testimony here as
- 10 Oracle -- that that was infringing, and it was
- 11 well-known that it was.
- Q. Are you familiar with the GNU Classpath
- 13 Project?
- 14 A. I am, yes.
- 15 Q. How are you familiar with that?
- 16 A. Again, as part of the preparation for this
- 17 case.
- 18 Q. And who did you talk to about GNU Classpath?
- A. So we covered this at the very, very, very
- 20 beginning of the morning. So this was as it relates to
- 21 my conversation with Mark Wayne, who was a Sun employee
- 22 at the time that that was going on. He conveyed the
- 23 facts of that to me such that I could provide the
- 24 testimony today.
- Q. And what did Mr. Wayne convey to you

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1 specifically?

- A. So he conveyed to me the fact that Sun was
- 3 aware of the GNU Classpath Project, had been monitoring
- 4 it closely, had been -- saw it largely as an academic
- 5 research-type effort; that it had people in contact with
- 6 those that were working on it doing the development;
- 7 that Sun at that time was considering open sourcing Java
- 8 itself and was looking at that as a way to kind of
- 9 manage that in a diplomatic way, or in a simple way, I
- 10 guess to put it; and that there was only one case that
- 11 that they were ever aware of where that code was used
- 12 commercially and not just as a research project; and
- 13 that was the case that we talked about very early this
- 14 morning, which was a -- I don't know if it's a standards
- 15 body or specification body in South Korea called WIPI.
- 16 W-I-P-I. And as Sun became aware of that, they
- 17 immediately engaged; and, as I understand it, there was
- 18 even government involvement between the United States
- 19 and South Korea. And so one interesting -- so one thing
- 20 about that conversation was that South Korea was using
- 21 GNU Classpath as "oh, we just got the code from there,"
- 22 but there was actually additional code outside of the
- 23 Java SE specification -- sorry, I forget exactly which,
- 24 I think it was MIPS-related code. So it was unclear
- 25 whether that was just an excuse for doing what they had

- 1 done or not, but what I do know is that it was resolved
- 2 commercially. A company called ETRI commercially
- 3 licensed that code, and so that, you know, helped fund
- 4 Sun's ongoing Java development that way. And that,
- 5 ultimately, Sun open sourced Java under a GPL license,
- 6 and so that completely obviated anything around GNU
- 7 Classpath, and it was just left. All the people that
- 8 were involved in that particular research project just
- 9 started working in OpenJDK.
- Q. Are you aware of Oracle ever using GNU
- 11 Classpath code in any of its products?
- 12 MS. LEWIS-GRUSS: Object to form; and to the
- 13 extent -- and this is outside the scope of the 30(b)(6)
- 14 topics.
- 15 THE WITNESS: So I'm not aware. And again,
- 16 after 2006, all that source code was available in
- 17 OpenJDK, and so there would have been no need for Oracle
- 18 to ever use that in its product.
- 19 BY MR. MULLEN:
- 20 Q. So it's your testimony that there's no
- 21 product at Oracle that uses code from GNU Classpath?
- 22 MS. LEWIS-GRUSS: Again, this is outside the
- 23 scope of the 30(b)(6).
- 24 MR. MULLEN: I think this is directly within
- 25 the scope of Topic 2.

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- MS. LEWIS-GRUSS: I disagree with that. I
- 2 think that Topic 2 is more narrow than your question;
- 3 and I also object to the form.
- THE WITNESS: So I have two parts to the
- 5 answer there. So number one is I'm not aware of any
- 6 Oracle product that is using GNU Classpath; and to the
- 7 degree that a product existed as of 2006, it would be
- 8 irrelevant because all that same source code is
- 9 available under the GPL and more.
- 10 BY MR. MULLEN:
- Q. Are you aware whether GNU Classpath still 12 exists?
- A. I am not aware if it still exists. I would
- 14 not be surprised if it was available somewhere, but
- 15 again, as of 2006, by having OpenJDK available under the
- 16 same license, it wouldn't matter. It would -- the GPL
- 17 permits somebody to come to OpenJDK today and take some
- 18 or all of the source code and put it somewhere else
- 19 under the GPL, so it would be no different than that.
- Q. Does the GPL Version 2 license prohibit --
- 21 let me say it this way. Does the GPL license allow
- 22 commercial use?
- A. So you -- you can use -- subject to the terms
- 24 and conditions of the GPL, you can create commercial
- 25 products, yes.

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- 1 Q. Are you familiar with Oracle Linux?
- A. I am familiar with Oracle Linux, at least at a 2
- 3 high level.
- Q. Is that a commercial product?
- A. So that is a bit of a metaquestion. I would
- 6 want to have an Oracle Linux product manager answer 7 that.
- 8 Q. Why do you say that's a bit of a
- 9 metaquestion?
- A. So the reason I say that is we -- what I do
- 11 know for a fact is we sell a subscription. We have a
- 12 service called, it's ULN, but I'm not sure what that
- 13 stands for. I apologize. This is not my product set.
- 14 I think it's the unbreakable Linux network. The "U" may
- 15 stand for something else. And so that, like, that is a
- 16 product. So we sell that service; that's subscription
- 17 service. Whether -- when you talk about Oracle Linux as
- 18 a product, it depends on what you mean by product. So I
- 19 believe it's available on its own, but I'm not sure it's
- 20 sold directly. It's the service with the updates and
- 21 the patching and the access to the applications, and so
- 22 on and so forth, that is of value.
- Q. So is it fair to say that Oracle Linux is, at
- 24 least, a commercial product, or service, or some
- 25 combination of the two?

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